

## Regulatory Story

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**Kubera Cross-Border Fund Limited** - KUBC Holding(s) in Company  
Released 10:49 11-Sep-2017

RNS Number : 3664Q  
Kubera Cross-Border Fund Limited  
11 September 2017

### TR-1: Standard form for notification of major holdings

<b>NOTIFICATION OF MAJOR HOLDINGS</b> (to be sent to the relevant issuer <u>and</u> to the FCA in Microsoft Word format if possible) <sup>i</sup>	
<b>1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached</b> <sup>ii</sup> :	Kubera Cross-Border Fund Ltd
<b>1b. Please indicate if the issuer is a non-UK issuer</b> (please mark with an "X" if appropriate)	
Non-UK issuer	X
<b>2. Reason for the notification</b> (please mark the appropriate box or boxes with an "X")	
An acquisition or disposal of voting rights	X
An acquisition or disposal of financial instruments	
An event changing the breakdown of voting rights	
Other (please specify) <sup>iii</sup> :	
<b>3. Details of person subject to the notification obligation</b> <sup>iv</sup>	
Name	Weiss Asset Management LP
City and country of registered office (if applicable)	Wilmington, Delaware, USA
<b>4. Full name of shareholder(s)</b> (if different from 3.) <sup>v</sup>	
Name	Brookdale International Partners, L.P., Brookdale Global Opportunity Fund
City and country of registered office (if applicable)	Brookdale International Partners, L.P.: New York, NY, USA Brookdale Global Opportunity Fund: Grand Cayman, Cayman Islands
<b>5. Date on which the threshold was crossed or reached</b> <sup>vi</sup> :	Sep-07-2017

<b>6. Date on which issuer notified (DD/MM/YYYY):</b>   Sep-08-2017				
<b>7. Total positions of person(s) subject to the notification obligation</b>				
	% of voting rights attached to shares (total of 8. A)	% of voting rights through financial instruments (total of 8.B 1 + 8.B 2)	Total of both in % (8.A + 8.B)	Total number of voting rights of issuer <sup>vii</sup>
Resulting situation on the date on which threshold was crossed or reached	15.48%		15.48%	16,985,812
Position of previous notification (if applicable)	14.96%		14.96%	

<b>8. Notified details of the resulting situation on the date on which the threshold was crossed or reached<sup>viii</sup></b>				
<b>A: Voting rights attached to shares</b>				
Class/type of shares ISIN code (if possible)	Number of voting rights <sup>ix</sup>		% of voting rights	
	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)
KYG522771032		16,985,812		15.48%
<b>SUBTOTAL 8. A</b>	16,985,812		15.48%	

<b>B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))</b>				
Type of financial instrument	Expiration date <sup>x</sup>	Exercise/ Conversion Period <sup>xi</sup>	Number of voting rights that may be acquired if the instrument is exercised/converted.	% of voting rights
		<b>SUBTOTAL 8. B 1</b>		

<b>B 2: Financial Instruments with similar economic effect according to Art. 13(1) (b) of Directive 2004/109/EC (DTR5.3.1.1 (b))</b>					
Type of financial instrument	Expiration date <sup>x</sup>	Exercise/ Conversion Period <sup>xi</sup>	Physical or cash settlement <sup>xii</sup>	Number of voting rights	% of voting rights
			<b>SUBTOTAL 8.B.2</b>		

<b>9. Information in relation to the person subject to the notification obligation</b> (please mark the applicable box with an "X")			
Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer <sup>xiii</sup>			
Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity <sup>xiv</sup> (please add additional rows as necessary)			X
Name <sup>xv</sup>	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is higher than the notifiable threshold
Weiss Asset Management LP			
Brookdale International Partners, L.P.	9.60%		9.60%
Weiss Asset Management LP			
Brookdale Global Opportunity Fund	5.88%		5.88%

<b>10. In case of proxy voting, please identify:</b>	
Name of the proxy holder	
The number and % of voting rights held	
The date until which the voting rights will be held	

<b>11. Additional information<sup>xvi</sup></b>
Weiss Asset Management LP is the Investment Manager to Brookdale Global Opportunity Fund and Brookdale International Partners, L.P. Please note: This notification is not being filed with the FCA, and is being furnished to the issuer pursuant to Article 25.1 of the issuer's Articles of Association dated 12/12/2006

<b>Place of completion</b>	Boston, USA
<b>Date of completion</b>	Sep-08-2017

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